

ETA-9130 FINANCIAL REPORT

*Overview of Revised WIOA
Financial Reports and Instructions*

Office of Grants Management

08/10/2016

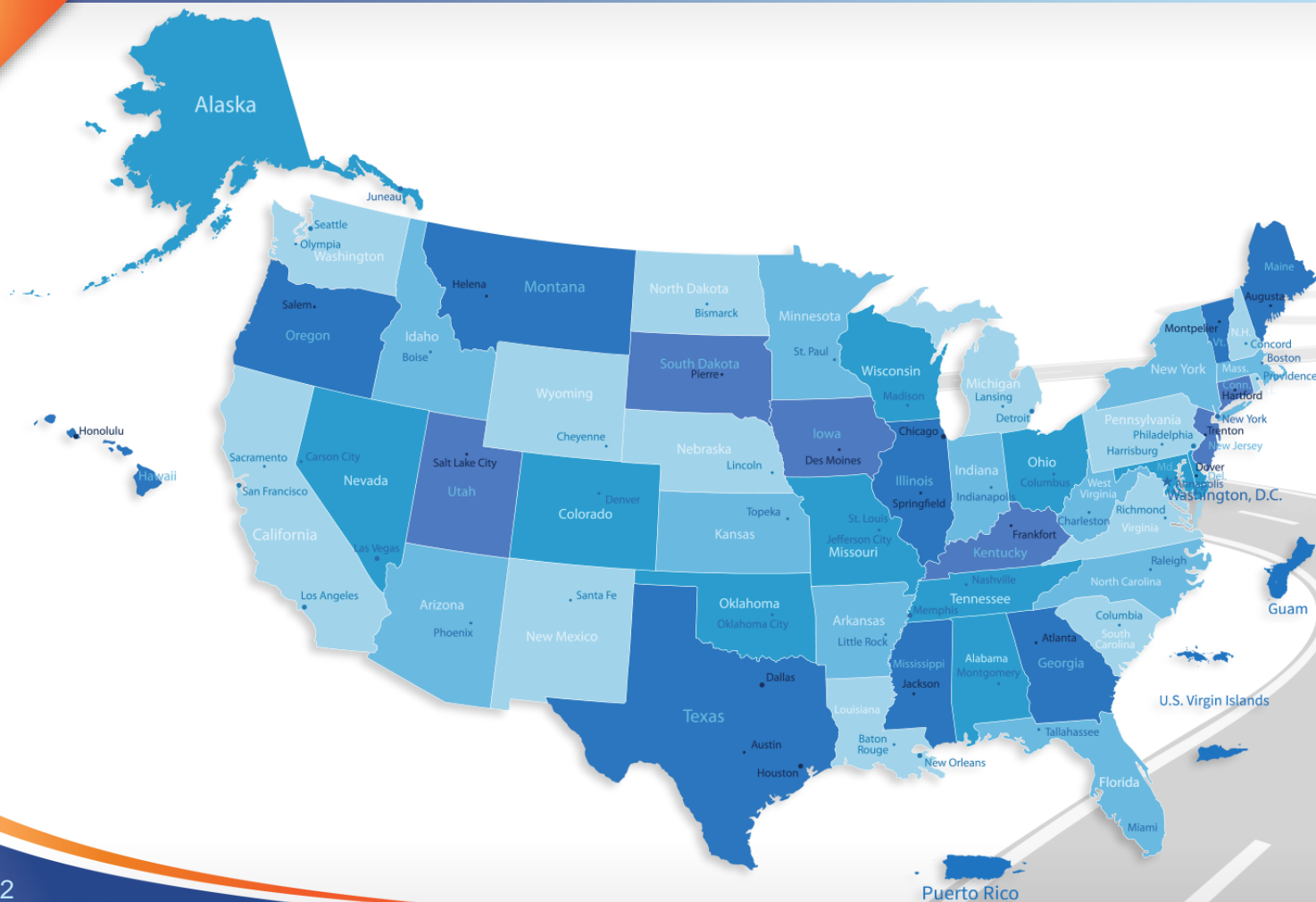


EMPLOYMENT AND TRAINING ADMINISTRATION
UNITED STATES DEPARTMENT OF LABOR

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Enter your location
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Today's Presenters

◆ Debbie Galloway

Fiscal Policy Manager

Office of Grants Management

◆ Chanel Castaneda

Grants Management Specialist

Office of Grants Management



Today's Objectives

Discussion of updates to the
Workforce Innovation and Opportunity Act (WIOA)
ETA-9130 Financial Reports and Instructions
(hereafter referred to as ETA-9130 or 9130).



Approval Date: April 13, 2016
Expiration Date: April 30, 2019
OMB Control #: 1205-0461

All documents are available at:

https://www.doleta.gov/grants/financial_reporting.cfm



Background

- ❖ ETA awards approximately \$8 billion in formula and discretionary grants each year to an average of 1,000 recipients.
- ❖ Financial reports for each of these grants must be submitted quarterly on the financial report form ETA-9130.
- ❖ There is a Basic ETA-9130 report for discretionary grants and thirteen (13) program-specific variations.



DOL's exceptions to the Uniform Guidance are at 2 CFR Part 2900.



Reasons for Change

With the passage of the **Workforce Innovation and Opportunity Act (WIOA)**, there are numerous **new statutory requirements**, including new and/or revised limitations and baselines that require the addition of new and modification of existing reporting line items on ETA-9130 Financial Reports.



Reasons for Change

Other reporting line items have been added and removed in an effort to **streamline Federal financial reporting** and make the ETA-9130 more closely resemble the **SF-425** (OMB 0348-0061), which is the standard financial reporting form for Federal grant recipients.





Today's discussion will **only** address **changes** to the WIOA-specific ETA-9130s.

It will not cover every section of each 9130 report and its instructions.

The **new forms** discussed today will become available in the e-Grants reporting system for the quarter ending **September 30, 2016**.

For copies of the 14 new forms and the instructions, please go to:
https://www.doleta.gov/grants/financial_reporting.cfm

For additional information, you may also refer to **TEGL 02-16**, Revised ETA-9130 Financial Report, Instructions, and Additional Guidance.

ETA-9130

Report Names



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HELLO my name is

1. ETA-9130 – Basic
2. ETA-9130 (A) – Statewide Youth
3. ETA-9130 (B) – Local Youth
4. ETA-9130 (C) – Statewide Adult
5. ETA-9130 (D) – Local Adult
6. ETA-9130 (E) – Statewide Dislocated Workers
7. ETA-9130 (F) – Local Dislocated Workers
8. ETA-9130 (G) – National Dislocated Worker Grants – NEW
9. ETA-9130 (H) – Statewide Rapid Response
10. ETA-9130 (I) – Employment Services & Unemployment Insurance
11. ETA-9130 (J) – National Farmworker Jobs Program
12. ETA-9130 (K) – Senior Community Service Employment Program
13. ETA-9130 (L) – Indian and Native American Program
14. ETA-9130 (M) – Trade Adjustment Assistance Program



Across The Board Changes

Affects **ALL** ETA-9130s,
including the Basic Form



Unique Entity Identifier



- ❖ Line item number **4a** was renamed **Unique Entity Identifier**, as prescribed by 2 CFR 200.210.
- ❖ This field is currently known as the Data Universal Numbering System (DUNS) number or Central Contract Registry extended DUNS number.
- ❖ The number is **pre-filled automatically** by the reporting system and will remain the same until such time a Unique Entity Identifier is determined.

Basis of Reporting

- ❖ Line item number 7 was renamed **Basis of Reporting**.
- ❖ DOL's exception to the Uniform Guidance at 2 CFR 2900.14 allows ETA to require that its grant recipients report expenditures on an **accrual basis**.
- ❖ If operating on a cash basis, the recipient will not be required to convert its accounting system, but must develop and report such accrual information through best estimates based on an analysis of the documentation on hand.



Expenditures



Instructions have been updated to reflect the Uniform Guidance definition of Expenditures at 2 CFR 200.34 (c).

For reports prepared on an accrual basis, expenditures are the sum of:

- (1) Cash disbursements for direct charges for goods and services;
- (2) The amount of indirect expense incurred;
- (3) The value of third-party in-kind contributions applied (*reported in recipient share section*); and
- (4) The net increase or decrease in the amounts owed by the non-Federal entity for:
 - (i) Goods and other property received;
 - (ii) Services performed by employees, contractors, subrecipients, and other payees; and
 - (iii) Programs for which no current services or performance are required such as annuities, insurance claims, or other benefit payments.



Recipient Share

Non-federal resources such as match and certain leveraged resources.

Instructions have been updated to reflect DOL's exception to the Uniform Guidance definition of Cost Sharing or Matching at 2 CFR 2900.8.



In addition to the guidance set forth in 2 CFR 200.306(b), for Federal awards from the Department of Labor, the non-Federal entity accounts for funds used for cost sharing or match within their accounting systems as the funds are expended.

Program Income

Instructions have been updated to reflect additional guidance provided in the Uniform Guidance at 2 CFR 200.305 (b)(5).



Use of resources before requesting cash advance payments. To the extent available, the non-Federal entity must disburse funds available from program income (including repayments to a revolving fund), rebates, refunds, contract settlements, audit recoveries, and interest earned on such funds before requesting additional cash payments.

20 CFR 683.200 (c)(6)-(8)



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Removed Line Items

- ❖ ETA attempts to streamline Federal financial reporting by bringing ETA-9130 requirements as close as possible to the already existing SF- 425 report.
- ❖ The following lines have been removed:
 - ◆ 10l – Recipient Share of Unliquidated Obligations
 - ◆ 10m – Total Recipient Obligations



Indirect Expenditures

13. Indirect Expenditures							
a. Type of Rate	b. Rate	c. Rate Approval Date	d. Period From (MM/DD/YYYY)	Period To (MM/DD/YYYY)	e. Base	f. Amount Charged	g. Federal Share
h. Totals:					\$ -	\$ -	\$ -

The following new Indirect Expenditure reporting line items have been added:

- ◆ Type of Rate
- ◆ Rate
- ◆ Rate Approval Date
- ◆ Period From – To
- ◆ Base
- ◆ Amount Charged
- ◆ Federal Share
- ◆ Totals

Indirect Expenditures ...continued

- ❖ There is NO section on Indirect Cost on the local 9130s.

- X** - WIOA Local Youth
- X** - WIOA Local Adult
- X** - WIOA Local Dislocated Workers



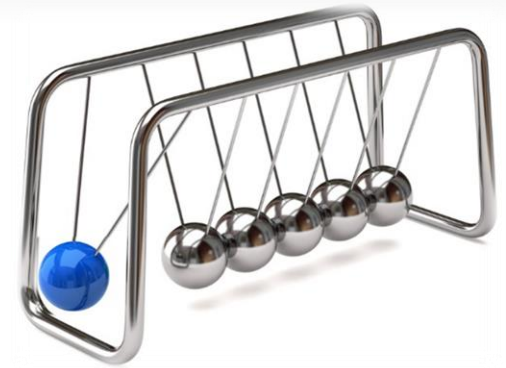
- ❖ Indirect costs are only to be reported by the non-federal entity receiving direct awards from DOL and using an indirect cost rate.
- ❖ Recipients using a Statewide Cost Allocation Plan (SWCAP) and/or subrecipients will not report indirect expenditures.

Indirect Expenditures ...continued

- ❖ Indirect costs are only reported on the **Final 9130** report.
- ❖ **Multiple values** may be entered in each Indirect Expenditure reporting line item (13a-h) for instances in which more than one rate applies to the applicable grant period of performance.
- ❖ While some administrative costs may be indirect costs, the two must not be generally equated.



Indirect Expenditures ...continued



❖ Type of Rate

- ◆ Provisional, Predetermined, Final, Fixed, Fixed with Carry-Forward, De Minimis, or other

❖ Rate

- ◆ Percentage applied to Base

❖ Rate Approval Date

- ◆ Date on which the indirect cost rate was approved

❖ Period From – To

- ◆ Two separate fields:
 - ◇ Date on which the indirect cost rate became effective
 - ◇ Last date (ending date) on which the indirect cost rate was (or is going to be) effective

Indirect Expenditures ...continued

❖ Base

- ◆ Amount of the distribution base against which the rate(s) was applied
- ◆ E.g., Modified Total Direct Costs (MTDC)

❖ Amount Charged

- ◆ This is a manual calculation; the system will not calculate this for recipients
- ◆ Multiply the Rate (%) times the Base (\$)

❖ Federal Share

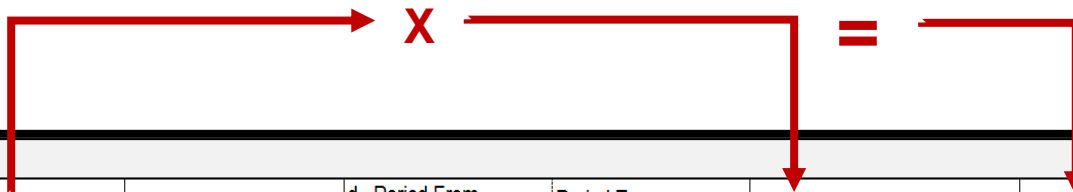
- ◆ Federal Share of the amount charged

❖ Totals

- ◆ This is a manual calculation; the system will not calculate this for recipients
- ◆ Add up the individual values for Base, Amount Charged, and Federal Share respectively



Indirect Expenditures ...continued



13. Indirect Expenditures							
a. Type of Rate	b. Rate	c. Rate Approval Date	d. Period From (MM/DD/YYYY)	Period To (MM/DD/YYYY)	e. Base	f. Amount Charged	g. Federal Share
Provisional	3.00%	06/28/2015	07/01/2015	09/30/2015	\$ 1,000,000.00	\$ 60,000.00	\$ 60,000.00
Fixed	2.80%	09/25/2015	10/01/2015	09/30/2017	\$ 3,500,000.00	\$ 98,000.00	\$ 98,000.00
h. Totals:					\$ 4,500,000.00	\$ 158,000.00	\$ 158,000.00

↑
Sum

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Sum

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Sum

Certification Statement

2 CFR 200.415
revises the certification
statement.

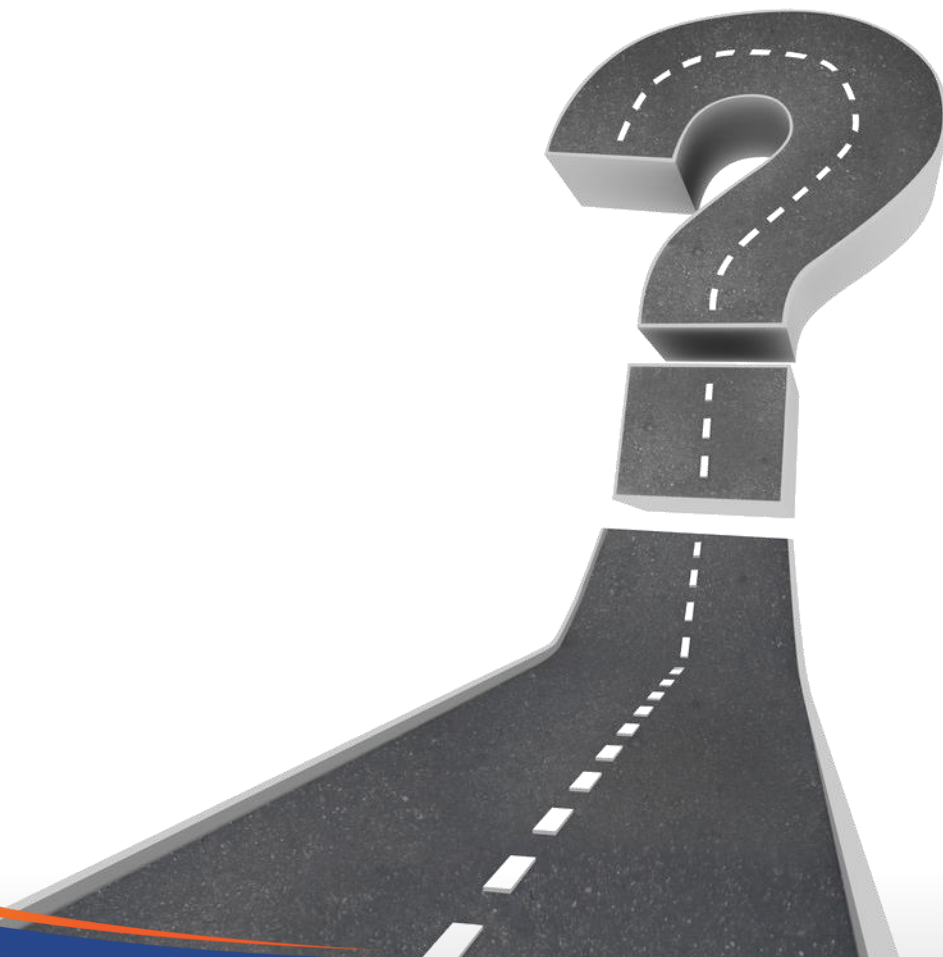
The authorized official
certifies accuracy of
reported data by entering
their individually
assigned PIN.



Any Questions?



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Changes to WIOA Reports



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Local Reports

ETA-9130 (B) – Local Youth

ETA-9130 (D) – Local Adult

ETA-9130 (F) – Local Dislocated Workers



Work Experience

WIOA Sec. 129 (c)(4)

A new reporting line item for **Work Experience Expenditures** was added to the Local Youth 9130.



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Pay-for-Performance



A new **Pay-for-Performance Contract Expenditures** reporting line item was added to the Local Youth, Local Adult, and Local Dislocated Worker 9130s.

WIOA Sec. 129 (c)(1)(D)
WIOA Sec. 134 (d)(1)(A)(iii)

Pay-for-Performance

- ❖ **WIOA Sec. 189 (g)(2)(D)** stipulates that funds for pay-for-performance contract strategies remain available until expended.
- ❖ A new **Federal Share of Unliquidated Obligations for Pay-for-Performance Contracts** reporting line item was added to the Local Youth, Local Adult, and Local Dislocated Worker 9130s.



Transitional Jobs



A new **Transitional Jobs Expenditures** reporting line item was added to the Local Adult, Local Dislocated Worker, and National Dislocated Worker Grants 9130s.

WIOA Sec. 134 (d)(5)



Incumbent Worker Training



A new **Incumbent Worker Training Expenditures** reporting line item was added to the Local Adult and Local Dislocated Workers ETA 9130s.

WIOA Sec. 134 (d)(4)(A)(i)

U.S. DOL ETA FINANCIAL REPORT

(Follow instructions on the back.)

1. Federal Agency and Organizational Element to Which Report is Submitted		2. Federal Grant or Other Identifying Number Assigned by DOL		OMB Approval No. 1205-0461 Expires 12/31/2015	
3. Recipient Organization (Name and complete address including Zip code)					
4a. Unique Entity Identifier	4b. EIN	5. Recipient Account Number or Identifying Number		6. Final Report <input type="checkbox"/> Yes <input type="checkbox"/> No	7. Basis of Reporting <input type="checkbox"/> Accrual
8. Project/Grant Period From: (MM/DD/YYYY)		To: (MM/DD/YYYY)		9. Reporting Period End Date (MM/DD/YYYY)	
10. Transactions				Cumulative	
Federal Cash:					
a. Cash Receipts					
b. Cash Disbursements					
c. Cash on Hand (line a minus b)				\$ -	
Federal Expenditures and Unobligated Balance:					
d. Total Federal Funds Authorized					
e. Federal Share of Expenditures					
f. Total Administrative Expenditures					
g. Federal Share of Unliquidated Obligations					
h. Total Federal Obligations (sum of lines e and g)				\$ -	
i. Unobligated Balance of Federal Funds (line d minus h)				\$ -	
Recipient Share:					
j. Total Recipient Share Required					
k. Recipient Share of Expenditures					
l. Remaining Recipient Share to Be Provided (line j minus k)				\$ -	
Program Income:					
m. Total Program Income Earned					
n. Program Income Expended in Accordance with the Addition Method					
o. Unexpended Program Income (line m minus line n)				\$	
11. Additional Obligation and Expenditure Data Required					
a. Other Federal Funds Expended					
b. Real Property Proceeds Expended					
c. Expenditure of Adult Funds on the Dislocated Worker Program					
d. Federal Share of Unliquidated Obligations for Pay-for-Performance Contracts					
e. Pay-for-Performance Contract Expenditures					
f. Transitional Jobs Expenditures					
g. Incumbent Worker Training Expenditures					
12. Remarks: (Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.)					
13. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).					
a. Typed or Printed Name and Title of Authorized Certifying Official				c. Telephone (Area code, number, and extension)	
				d. Email Address	
b. Signature of Authorized Certifying Official				e. Date Report Submitted (MM/DD/YYYY)	
				14. Agency Use Only:	

Prescribed by OMB Uniform Guidance 2 CFR 200.

Persons are not required to respond to this collection of information unless it displays a currently valid OMB number. Public reporting burden for this collection of information, which is required to obtain or retain benefits (2 CFR 200.327 and WIOA Section 185(e)(2)), is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The reason for the collection of information is general program oversight, evaluation and performance assessment. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to ETA Office of Management and Administrative Services, Rm N-4653, U.S. Department of Labor, Washington DC 20210.

Statewide Reports

ETA-9130 (A) – Statewide Youth

ETA-9130 (C) – Statewide Adult

ETA-9130 (E) – Statewide Dislocated Workers

ETA-9130 (G) – National Dislocated Worker Grants

ETA-9130 (H) – Statewide Rapid Response



In-School Youth

- ❖ A new **In-School Youth Funds Expended on Direct Services** reporting line item was added to the Statewide Youth 9130.
- ❖ In-school youth expenditures must be collected in order to determine the total percentage of out-of-school youth funds expended.



Out-of-School Youth

A new reporting line item for
**Out-of-School Youth Funds
Expended on Direct Services**
was added to the Statewide
Youth 9130.

WIOA Sec. 129 (a)(4)(A)



Out-of-School Youth ...continued

Out-of-School Youth Funds Expended on Direct Services

Expenditure Rate Calculation: **OSY ÷ (ISY + OSY)**

- Out-of-School Youth expenditures divided by In-School Youth expenditures plus Out-of-School Youth expenditures
- This expenditure rate calculation is only applicable to the Statewide Youth fund stream.
- The local OSY Expenditures calculation is outlined in the Local Youth ETA-9130 instructions.



U.S. DOL ETA FINANCIAL REPORT

(Follow instructions on the back.)

1. Federal Agency and Organizational Element to Which Report is Submitted				2. Federal Grant or Other Identifying Number Assigned by DOL				OMB Approval No. 1205-0461 Expires 12/31/2015		
3. Recipient Organization (Name and complete address including Zip code)										
4a. Unique Entity Identifier			4b. EIN		5. Recipient Account Number or Identifying Number			6. Final Report <input type="checkbox"/> Yes <input type="checkbox"/> No		7. Basis of Reporting <input type="checkbox"/> Accrual
8. Project/Grant Period From: (MM/DD/YYYY)					To: (MM/DD/YYYY)			9. Reporting Period End Date (MM/DD/YYYY)		
10. Transactions								Cumulative		
Federal Cash:										
a. Cash Receipts										
b. Cash Disbursements										
c. Cash on Hand (line a minus b)								\$ -		
Federal Expenditures and Unobligated Balance:										
d. Total Federal Funds Authorized										
e. Federal Share of Expenditures										
f. Total Administrative Expenditures										
g. Federal Share of Unliquidated Obligations										
h. Total Federal Obligations (sum of lines e and g)								\$ -		
i. Unobligated Balance of Federal Funds (line d minus h)								\$ -		
Recipient Share:										
j. Total Recipient Share Required										
k. Recipient Share of Expenditures										
l. Remaining Recipient Share to Be Provided (line j minus k)								\$ -		
Program Income:										
m. Total Program Income Earned										
n. Program Income Expended in Accordance with the Addition Method										
o. Unexpended Program Income (line m minus line n)								\$ -		
11. Additional Expenditure Data Required										
a. Other Federal Funds Expended										
b. Real Property Proceeds Expended										
c. Recaptured Funds Expended										
d. Out-of-School Youth Funds Expended on Direct Services										
e. In-School Youth Funds Expended on Direct Services										
12. Remarks: (Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.)										
13. Indirect Expenditures										
a. Type of Rate	b. Rate	c. Rate Approval Date	d. Period From (MM/DD/YYYY)	Period To (MM/DD/YYYY)	e. Base	f. Amount Charged	g. Federal Share			
h. Totals:						\$ -	\$ -	\$ -		
14. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).										
a. Typed or Printed Name and Title of Authorized Certifying Official						c. Telephone (Area code, number, and extension)				
b. Signature of Authorized Certifying Official						d. Email Address				
						e. Date Report Submitted (MM/DD/YYYY)				
15. Agency Use Only:										

Prescribed by OMB Uniform Guidance 2 CFR 200.

Persons are not required to respond to this collection of information unless it displays a currently valid OMB number. Public reporting burden for this collection of information, which is required to obtain or retain benefits (2 CFR 200.327 and WIOA Section 185(e)(2)), is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The reason for the collection of information is general program oversight, evaluation and performance assessment. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to ETA Office of Management and Administrative Services, Rm N-4653, U.S. Department of Labor, Washington DC 20210.


Statewide Adult and Dislocated Workers

No new line items!



National Dislocated Worker Grants

Due to the programmatic requirements in WIOA, a separate report has been created for **National Dislocated Worker Grants (NDWG)**.



Workforce Innovation and Opportunity Act - National Dislocated Worker Grants

U.S. DOL ETA FINANCIAL REPORT
(Follow instructions on the back.)

1. Recipient Agency and Organization Name to Which Report is Submitted
2. Recipient Grantor Other Identifying Information (Provide No. 0050467)
3. Recipient Organization Name and complete address including Zip Code

4. Unique Entity Identifier No. EIN
5. Recipient Account Number or Identifying Number

6. Fiscal Year Period-From (MM/DD/YYYY) To (MM/DD/YYYY)

7. Transaction

Federal Cash:

a. Cash Receipts
b. Cash Disbursements
c. Cash on Hand (line a minus b)

Federal Expenditures and Unobligated Balance:

d. Total Federal Funds Authorized
e. Federal Share of Expenditures
f. Total Administrative Expenditures
g. Federal Share of Unobligated Expenditures
h. Total Federal Expenditures (sum of lines e and g)
i. Unobligated Balance of Federal Funds (line d minus h)

Recipient Share:

j. Total Recipient Share Received
k. Recipient Share of Expenditures
l. Remaining Recipient Share to Be Received (line j minus k)

Program Income:

m. Total Program Income Generated
n. Program Income Expended in Accordance with the Action Method
o. Unexpended Program Income (line m minus line n)
p. Additional Expenditure Data Required

q. Other Federal Funds Expenditures
r. Transferred Job Expenditures
s. Training Expenditures

t. Remarks: (Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.)

8. Indirect Expenditures

a. Type of Rate	b. Rate	c. Rate Approval Date	d. Period From (MM/DD/YYYY)	e. Period To (MM/DD/YYYY)	f. Base	g. Amount Charged	h. Federal Share
						h. Total \$	

9. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements, and receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information or the omission of any material fact may subject me to criminal, civil or administrative penalties for false statements, false claims or otherwise. (U.S. Code Title 18 Section 1001 and Title 31, Sections 225-235 and 225-240)

a. Type of a Printed Name and Title of Authorized Certifying Official
b. Telephone (Area code, number, and extension)
c. Email Address
d. Signature of Authorized Certifying Official
e. Date Report Submitted (MM/DD/YYYY)
f. Agency Use Only

Prescribed by OMB Uniform Guidance 2 CFR 200.

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ETA-201012

National Dislocated Worker Grants ...continued

The new National Dislocated Worker Grants 9130 includes a **Training Expenditures** reporting line item.

This line item should consider all costs for training, including but not limited to tuition, books, tools, etc., as applicable.

All forms of training must be accounted for, including but not limited to occupational skills training, GED/HiSET/TASC training, and on-the-job training.

WIOA Sec. 116 (d)(2)(D)

Rapid Response

A new Rapid Response
Funds Expended on Other
Statewide Activities
reporting line item was
added to the Statewide
Rapid Response 9130.



WIOA Sec. 134 (a)(2)(A)(ii)



U.S. DOL ETA FINANCIAL REPORT

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4a. Unique Entity Identifier		4b. EIN		5. Recipient Account Number or Identifying Number		6. Final Report <input type="checkbox"/> Yes <input type="checkbox"/> No		7. Basis of Reporting <input type="checkbox"/> Accrual
8. Project/Grant Period From: (MM/DD/YYYY)				To: (MM/DD/YYYY)		9. Reporting Period End Date (MM/DD/YYYY)		
10. Transactions						Cumulative		
Federal Cash:								
a. Cash Receipts								
b. Cash Disbursements								
c. Cash on Hand (line a minus b)						\$ -		
Federal Expenditures and Unobligated Balance:								
d. Total Federal Funds Authorized								
e. Federal Share of Expenditures								
f. Total Administrative Expenditures								
g. Federal Share of Unliquidated Obligations								
h. Total Federal Obligations (sum of lines e and g)						\$ -		
i. Unobligated Balance of Federal Funds (line d minus h)						\$ -		
Recipient Share:								
j. Total Recipient Share Required								
k. Recipient Share of Expenditures								
l. Remaining Recipient Share to Be Provided (line j minus k)						\$ -		
Program Income:								
m. Total Program Income Earned								
n. Program Income Expended in Accordance with the Addition Method								
o. Unexpended Program Income (line m minus line n)						\$ -		
11. Additional Expenditure Data Required								
a. Other Federal Funds Expended								
b. Real Property Proceeds Expended								
c. Rapid Response Funds Expended on Other Statewide Programs								
12. Remarks: (Attach any explanations deemed necessary or information required by Federal sponsoring agency in compliance with governing legislation.)								
13. Indirect Expenditures								
a. Type of Rate	b. Rate	c. Rate Approval Date	d. Period From (MM/DD/YYYY)	Period To (MM/DD/YYYY)	e. Base	f. Amount Charged	g. Federal Share	
h. Totals:						\$ -	\$ -	\$ -
14. Certification: By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the Federal award. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise. (U.S. Code Title 18, Section 1001 and Title 31, Sections 3729-3730 and 3801-3812).								
a. Typed or Printed Name and Title of Authorized Certifying Official						c. Telephone (Area code, number, and extension)		
						d. Email Address		
b. Signature of Authorized Certifying Official						e. Date Report Submitted (MM/DD/YYYY)		
						15. Agency Use Only:		

Prescribed by OMB Uniform Guidance 2 CFR 200.

Persons are not required to respond to this collection of information unless it displays a currently valid OMB number. Public reporting burden for this collection of information, which is required to obtain or retain benefits (2 CFR 200.327 and WIOA Section 185(e)(2)), is estimated to average 45 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The reason for the collection of information is general program oversight, evaluation and performance assessment. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to ETA Office of Management and Administrative Services, Rm N-4653, U.S. Department of Labor, Washington DC 20210.

New Line Items In Summary

[illegible]

Any Questions?



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in the Chat window
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Reporting

All pre-existing and new reporting line items must be reported cumulatively.



Transition Activities

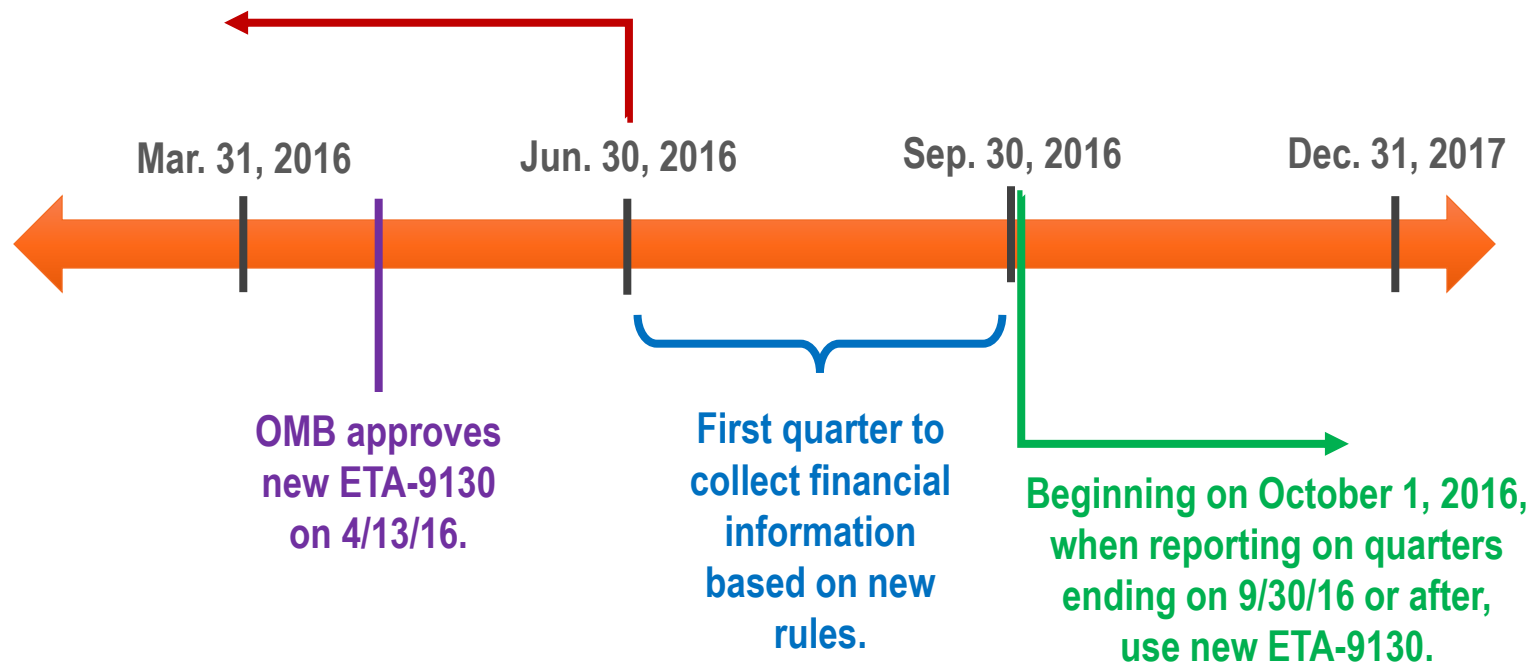
Expenditures for activities to facilitate the transition from WIA to WIOA **must be reported in section 12 (Remarks)** of the ETA-9130.

TEGL 12-14 – Allowable Uses and Funding Limits of Workforce Investment Act (WIA)
Program Year 2014 funds for WIOA
Transitional Activities



Implementation

Continue to use old ETA-9130 when reporting on quarters ending on 6/30/16 or prior.



ETA-9130



Financial Reporting Resources

A request for a password and PIN must be submitted via e-mail to ETApasword_pin@dol.gov.

Passwords and PINs are sent to the primary contact person via e-mail. Technical assistance with the online reporting system should be directed to the Grantee Reporting System helpdesk at appsupport.egrants@dol.gov.

▶ ETA-9130 Financial Report forms and instructions may be found at: http://www.doleta.gov/grants/financial_reporting.cfm.

▶ The ETA-9130 Financial Reporting System may be accessed at: <https://www.eta-reports.doleta.gov>.

▶ The Financial Reporting Access Request Document is available at: http://www.doleta.gov/grants/financial_reporting.cfm.

Quarter End Date	Financial Report Due Date
March 31	May 15
June 30	August 14
September 30	November 14
December 31	February 14

ETA-9130 Financial Reports are due no later than 45 calendar days after the end of each quarter, unless otherwise specified in reporting instructions.

The quarter in which financial reporting begins is based on the effective date listed on the Notice of Award (NOA), and is independent of the date on which the NOA is signed by the Grant Officer. Examples of due dates for an initial ETA-9130 Financial Reports are:

NOA Effective Date	NOA Date Signed	Initial Reporting Quarter End Date	Initial Report Due Date
7/1/15	7/1/15	9/30/15	11/14/15
10/1/15	12/28/15	12/31/15	2/14/16
8/1/16	9/28/16	9/30/16	11/14/16

At the end of a grant, **two** reports must be submitted:

1

The **Final** 9130 report must be submitted no later than **45 calendar days** after the reporting period in which the grant period of performance (POP) expires or all funds are expended, whichever comes first. The Final 9130 report must be indicated by selecting 'Yes' in the reporting line item 6, Final Report.

2

The **Closeout** 9130 report must be submitted no later than **90 calendar days** after the expiration of the grant period of performance. The Closeout 9130 Report must be completed in addition to the Final 9130 report. It becomes accessible online *after* submission of the Final 9130 report at which time a link to the closeout system will also appear. The closeout package can be accessed via the following URL: https://www.eta-reports.doleta.gov/CFDOCS/grantee_prod/reporting/index.cfm.

Examples of due dates for Final and Closeout ETA-9130 Financial Reports are:

Grant POP	All Funds Expended or POP Expired	Final Reporting Quarter End Date	Final Report Due Date	Closeout Report Due Date
7/1/15 – 6/30/18	6/30/18	6/30/18	8/14/18	9/28/18
10/1/15 – 9/30/18	9/30/18	9/30/18	11/14/18	12/29/18
8/1/16 – 7/31/17	1/6/17	3/31/17	5/15/17	10/29/17

For more information regarding grant closeout, please visit http://www.doleta.gov/grants/grant_closeout.cfm.

Any Questions?



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